

# Mind the Gap: How BabyLMs Learn Filler-Gap Dependencies

Chi-Yun Chang, Xueyang Huang, Humaira Nasir, Shane Storks, Olawale Akingbade, Huteng Dai

University of Michigan



## Introduction

- Humans acquire complex syntactic dependencies such as filler-gap relationships from limited and often noisy input, raising the question of whether artificial neural language models can achieve the same (Gagliardi et al., 2016; Wilcox et al., 2018; Howitt et al., 2024).
- Filler-gap dependencies** provide a critical test of syntactic learning because they require models to track long-distance relationships and respect island constraints.
- The BabyLM Challenge offers **child-oriented corpora** for training language models on resource-limited input, allowing a developmentally realistic framework to examine syntactic acquisition in smaller-scale models (Hu et al., 2024).

**Research Question:** Can language models trained on predominantly child-oriented, child-sized input acquire filler-gap dependencies, generalize across constructions, and respect structural constraints such as island effects?

## Methodology

|                                                                                                                                                                                               |                                                                                                                                                                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| This project investigates the acquisition of filler-gap dependencies by GPT-2 models trained on child-language data, following established methods (Wilcox et al., 2018; Ozaki et al., 2022). |                                                                                                                                                                                                                     |
| Models Trained                                                                                                                                                                                | GPT-2 (10M tokens and 100M tokens) on BabyLM Challenge corpora (70% child-directed/oriented input)                                                                                                                  |
| Additional Models Assessed                                                                                                                                                                    | ConcreteGPT (10M tokens) (Capone et al., 2024) and BabbleGPT (100M tokens) (Goriely et al., 2024)                                                                                                                   |
| Upper Bound Model                                                                                                                                                                             | A threshold GPT-2 pretrained on a 40GB general corpus                                                                                                                                                               |
| Test Materials                                                                                                                                                                                | A suite of sentences for four major syntactic constructions                                                                                                                                                         |
| Experimental Design                                                                                                                                                                           | 2x2 factorial setup: manipulate presence of fillers (wh-licensors) and gaps to yield grammatical vs. ungrammatical conditions                                                                                       |
| Evaluation Metrics                                                                                                                                                                            | Surprisal at critical regions; wh-licensing scores (filler-gap interaction); flip tests (surprisal reverses with gap presence); grammaticality division tests (surprisal difference: grammatical vs. ungrammatical) |
| Statistical Analysis                                                                                                                                                                          | Tests are conducted through mixed-effects linear regression with random intercepts by sentence set to test acquisition of filler-gap dependencies and structural constraints                                        |

Table 1. Methodology summary

## Construction Types

Here we outline each construction type and what aspect of filler-gap behavior it is designed to test.

| Types              | Examples                                                                                                                                                                                                                                             |
|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Gap Distance    | They found out [ that / what ] <sub>filler</sub> the baker [who lives nearby / who visits the bakery every Sunday] <sub>mod length</sub> gave [ a free loaf / ____ ] <sub>gap</sub> to the customer this morning.                                    |
| B. Double Gaps     | John knows [ that / who ] <sub>filler</sub> [ the police / ____ ] <sub>gap1</sub> found [ the thief / ____ ] <sub>gap2</sub> in the alley.                                                                                                           |
| C. Wh-Islands      | You mentioned [ that / *what ] <sub>filler</sub> your coworker stated { [whether] <sub>complementizer</sub> the intern sent [ the wrong file / * ____ ] <sub>gap</sub> to the client } <sub>wh-island</sub>                                          |
| D. Adjunct Islands | We found out [ that / *what ] <sub>filler</sub> [the parade started after] <sub>adjunct pos trigger</sub> {the mayor of the city gave [ the opening speech / * ____ ] <sub>gap</sub> in front of the cheering crowd.} <sub>adjunct island back</sub> |

Table 2. Construction types illustrating filler-gap dependencies. Bold, colored spans are manipulated factors: **mod length** varies modifier length; **complementizer** varies the word that introduces the embedded clause (e.g., that, whether); **adjunct pos trigger** varies where the adjunct island occurs; **filler** and **gap** indicate the filler and gap sites.

## Conclusion and Discussion

- Larger** GPT-2 models show **stronger** filler-gap learning, but still fail on complex constraints like adjunct islands.
- All models show weaker performance on **long-distance dependencies**, mirroring the late acquisition of such patterns in child language.
- Flip test** shows that even stronger models do **not** fully capture filler-gap **bijectivity**, suggesting inductive biases are needed for human-like generalization.
- BabyLM** models **outperform GPT-2-10M** on several constructions but show **mixed results at 100M**, indicating modest gains from specialized training yet persistent difficulty with complex island constraints.

## References

Luca Capone, Alessandro Bondielli, and Alessandro Lenci. Concretegpt: A baby gpt-2 based on lexical concreteness. In *BabyLM Challenge, EMNLP 2024*, 2024. Poster presentation.

Annie Gagliardi, Tara M Mease, and Jeffrey Lidz. Discontinuous development in the acquisition of filler-gap dependencies: Evidence from 15-and 20-month-olds. *Language Acquisition*, 23:234–260, 2016.

Zébulon Goriely, Richard Diehl Martinez, Andrew Caines, Paula Buttery, and Lisa Beinborn. From babble to words: Pre-training language models on continuous streams of phonemes. In *BabyLM Challenge, EMNLP 2024*, 2024.

Kevin Howitt, Sunil Nair, Amelia Dods, and Robert M Hopkins. Generalizations across filler-gap dependencies in neural language models. *Proceedings of the 28th Conference on Computational Natural Language Learning*, 2024.

Michael Y. Hu, Aaron Mueller, Candace Ross, Adina Williams, Tal Linzen, Chengxu Zhuang, Ryan Cotterell, Leshem Choshen, Alex Warstadt, and Ethan Gotlieb Wilcox. Findings of the second babylm challenge: Sample-efficient pretraining on developmentally plausible corpora. In *BabyLM Challenge, EMNLP 2024*, 2024.

Satoru Ozaki, Daniel Yurovsky, and Lauren Levin. How well do LSTM language models learn filler-gap dependencies? In *Proceedings of the Society for Computation in Linguistics 2022*, pages 76–88, 2022.

Ethan Wilcox, Roger Levy, Takashi Morita, and Richard Futrell. What do RNN language models learn about filler-gap dependencies? *Proceedings of the 2018 EMNLP Workshop BlackboxNLP: Analyzing and Interpreting Neural Networks for NLP*, 2018.

## Licensor-Gap Interaction

Each row in **Figure 1** and **Figure 2** represents one construction: (a) **gap-distance-obj**, (b) **gap-distance-PP**, (c) **wh-islands**, (d) **adjunct-islands**. Constructions fully learned with statistical significance (robust to intervening factors and capturing island constraints) are marked by asterisks. **Figure 3** visualizes the wh-licensing scores of double gaps.

- The **threshold GPT-2 model** demonstrates robust acquisition of most filler-gap dependencies and partial sensitivity to island effects.
- GPT-2-10M** fails to acquire any construction, while **GPT-2-100M** succeeds fully on **gap-distance-obj** and shows global licensing behavior in **wh-islands**, but fails to capture **adjunct-island** constraints.
- ConcreteGPT** demonstrates global licensing behavior for wh-islands, while **BabbleGPT** acquires **gap-distance-obj** fully, and show licensing effects for **wh-islands** and **adjunct-islands**. However, both models continue to overlook island constraints.

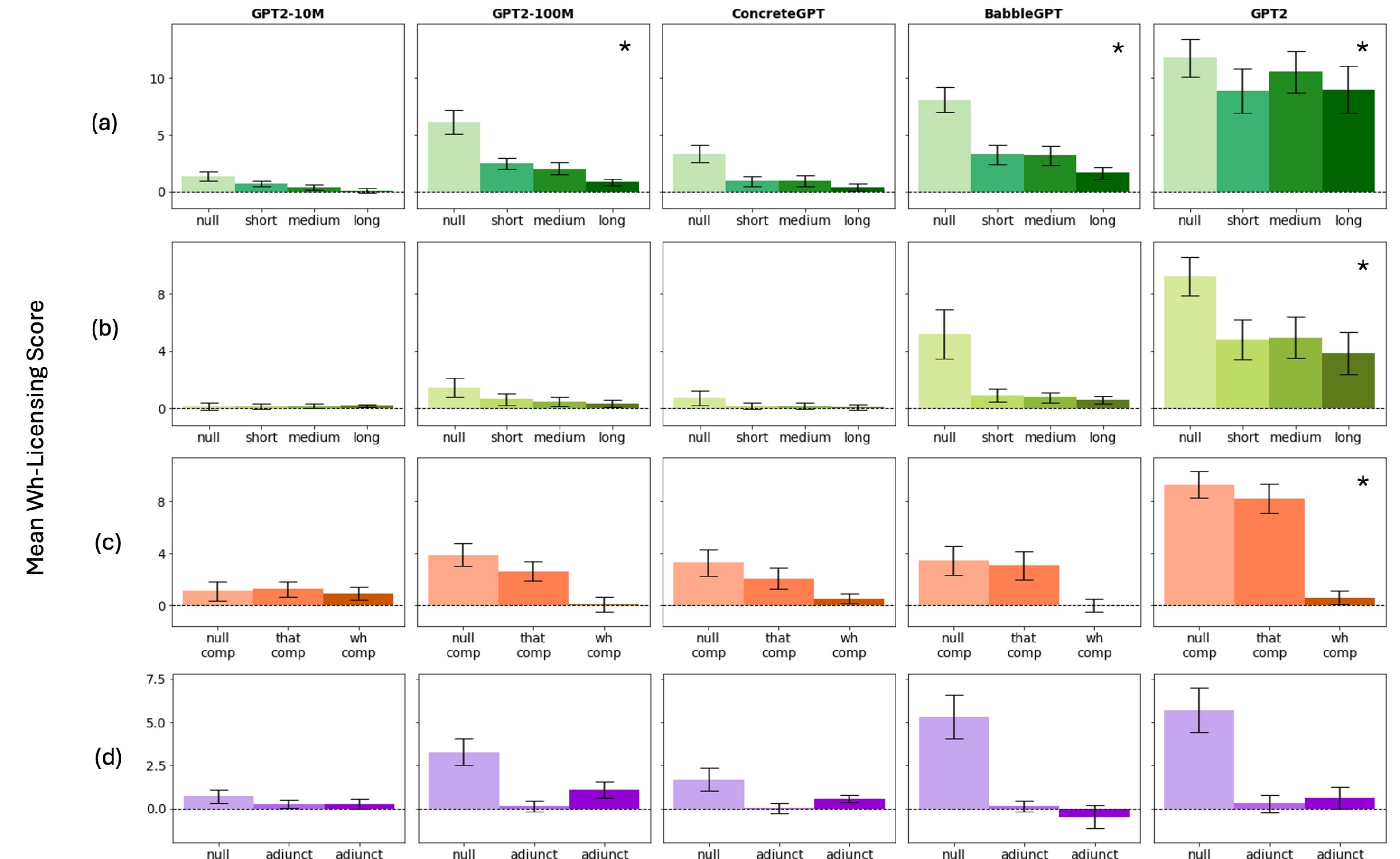


Figure 1. Wh-licensing scores with local surprisals.

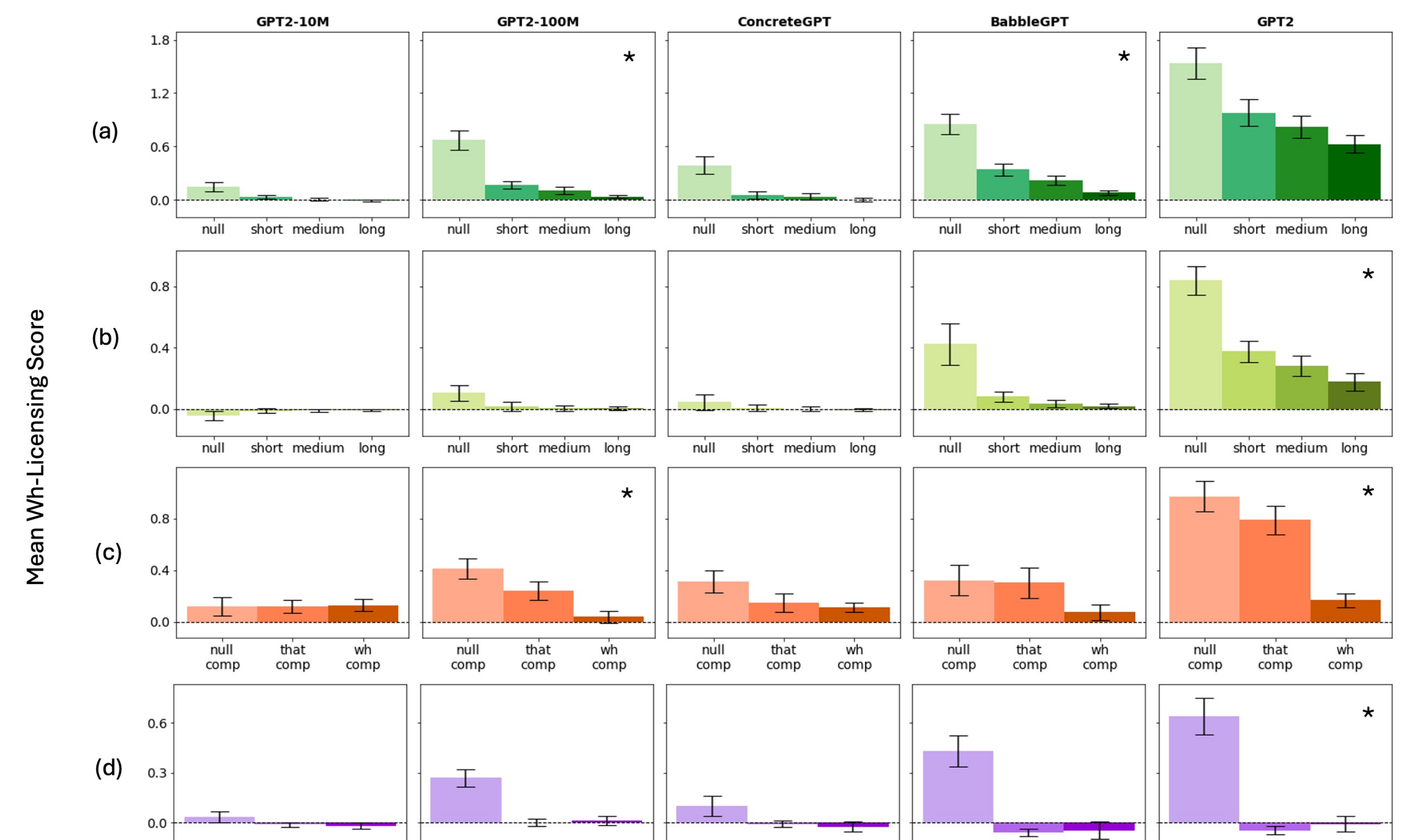


Figure 2. Wh-licensing scores with global surprisals.

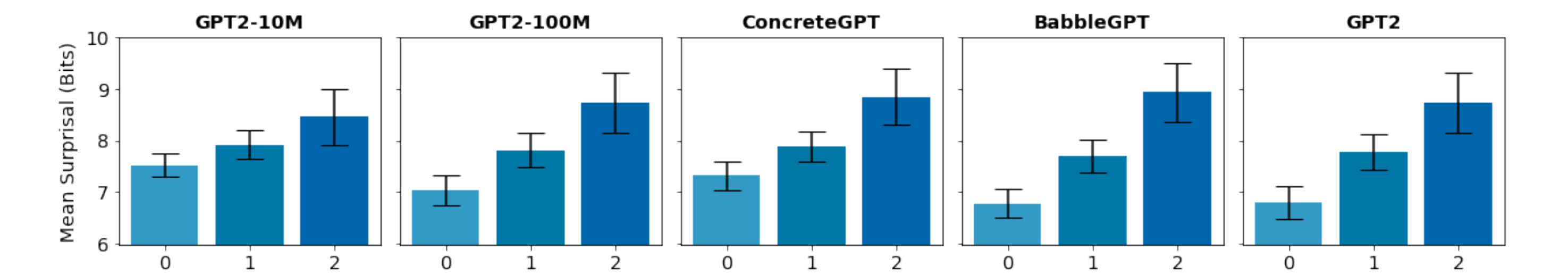


Figure 3. Double gaps: mean surprisal vs. number of illicit gaps.

## Flip Test

- The **threshold GPT-2 model** passes most flip tests, capturing both directions of bijectivity and showing island awareness, though adjunct-island results are mixed.
- GPT-2-10M** captures only one direction in some constructions and misses island effects, while **GPT-2-100M** passes the flip test for local **gap-distance-obj** and local **wh-islands**, but remains inconsistent on other island constructions.
- ConcreteGPT** shows one-sided flips across constructions without island sensitivity, whereas **BabbleGPT** passes the flip test for local **wh-islands** and local **adjunct-islands**, demonstrating better acquisition of island constraints.

## Division by Grammaticality

- The GPT-2 model passes the grammaticality test for all constructions with high statistical significance.
- GPT-2-10M** passes the test for **double-gaps** and **wh-islands**. **GPT-2-100M** passes the test for **double-gaps**, **wh-islands**, and **adjunct-islands**.
- ConcreteGPT** passes the test for **double-gaps**, **wh-islands**, and **adjunct-islands**. **BabbleGPT** passes the test for all constructions except for **gap-distance-PP**.